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## NOTICE OF ALLOWANCE AND FEE(S) DUE

27316 7590 09/15/2009 MAYBACK & HOFFMAN, P.A. 5722 S. FLAMINGO ROAD #232 FORT LAUDERDALE, FL 33330 EXAMINER

KINGAN, TIMOTHY G

ART UNIT PAPER NUMBER

1797

DATE MAILED: 09/15/2009

 APPELCATION NO.
 FIELNO DATE
 FIRST NAMED INVENTOR
 ATTORNEY DOCKET NO.
 CONFIRMATION NO.

 10/596,438
 07/07/2006
 Raimund Schuetze
 K&W/13842US
 6717

TITLE OF INVENTION: MOUNTING DEVICE FOR MOUNTING A RETAINER MEANS FOR A BIOLOGICAL OBJECT AND CORRESPONDING METHOD FOR LASER MICRO-DISSECTION

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1510        | \$300               | \$0                  | \$1810           | 12/15/2009 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 1SI. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FIEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

| INSTRUCTIONS: This appropriate. All further c indicated unless corrected maintenance fee notification.                                                                                                                                                                                                                                                                                                                                          | form should be used for<br>correspondence includir<br>d below or directed oth<br>ions.                                                              | or trans<br>ig the P<br>ierwise                   | mitting the ISSU<br>atent, advance or<br>in Block 1, by (a                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                        |                                                                                                         |                                                  |                                                                                                                               | nould be completed where<br>correspondence address as<br>rate "FEE ADDRESS" for                                                                  |
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| CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                     |                                                   |                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Note: A certificate of mailing can only be used for domestic mailings of the<br>Fee(s) Transmittal. This certificate cannot be used for any other accompanying<br>pagers. Each additional pager, such as an assignment or formal drawing, must<br>have its own certificate of mailing or transmission. |                                                                                                         |                                                  |                                                                                                                               |                                                                                                                                                  |
| 27316                                                                                                                                                                                                                                                                                                                                                                                                                                           | 7590 09/15                                                                                                                                          | /2009                                             |                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                        |                                                                                                         |                                                  | of Mailing or Transi                                                                                                          |                                                                                                                                                  |
| 5722 S. FLAMIN                                                                                                                                                                                                                                                                                                                                                                                                                                  | HOFFMAN, P.A<br>IGO ROAD #232<br>DALE, FL 33330                                                                                                     | ١.                                                |                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | I her<br>State<br>addre<br>trans                                                                                                                                                                                                                                                                       | eby certify that this Postal Service wessed to the Mail mitted to the USP.                              | is Fee(s<br>vith suf<br>Stop<br>TO (57           | s) Transmittal is being<br>ficient postage for firs<br>ISSUE FEE address<br>I) 273-2885, on the di                            | deposited with the United<br>t class mail in an envelope<br>above, or being facsimile<br>ate indicated below.                                    |
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| APPLICATION NO.                                                                                                                                                                                                                                                                                                                                                                                                                                 | FILING DATE                                                                                                                                         |                                                   |                                                                                                     | FIRST NAMED INVEN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | FOR                                                                                                                                                                                                                                                                                                    |                                                                                                         | ATTO                                             | RNEY DOCKET NO.                                                                                                               | CONFIRMATION NO.                                                                                                                                 |
| 10/596,438                                                                                                                                                                                                                                                                                                                                                                                                                                      | 07/07/2006                                                                                                                                          |                                                   |                                                                                                     | Raimund Schuetze                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | ,                                                                                                                                                                                                                                                                                                      | K&W/13842US                                                                                             |                                                  |                                                                                                                               | 6717                                                                                                                                             |
| TITLE OF INVENTIONS METHOD FOR LASER Y                                                                                                                                                                                                                                                                                                                                                                                                          | MICRO-DISSECTION                                                                                                                                    |                                                   |                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                        |                                                                                                         |                                                  |                                                                                                                               |                                                                                                                                                  |
| APPLN. TYPE                                                                                                                                                                                                                                                                                                                                                                                                                                     | SMALL ENTITY                                                                                                                                        | ISS                                               | UE FEE DUE                                                                                          | PUBLICATION FEE D                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | UE                                                                                                                                                                                                                                                                                                     | PREV. PAID ISSUE                                                                                        | S FEE                                            | TOTAL FEE(S) DUE                                                                                                              | DATE DUE                                                                                                                                         |
| nonprovisional                                                                                                                                                                                                                                                                                                                                                                                                                                  | NO                                                                                                                                                  |                                                   | \$1510                                                                                              | \$300                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                        | \$0                                                                                                     | \$0 \$1810                                       |                                                                                                                               | 12/15/2009                                                                                                                                       |
| EXAMI                                                                                                                                                                                                                                                                                                                                                                                                                                           | INER                                                                                                                                                |                                                   | ART UNIT                                                                                            | CLASS-SUBCLASS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | $\neg$                                                                                                                                                                                                                                                                                                 |                                                                                                         |                                                  |                                                                                                                               |                                                                                                                                                  |
| KINGAN, TIMOTHY G                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                     |                                                   | 1797                                                                                                | 422-104000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                        |                                                                                                         |                                                  |                                                                                                                               |                                                                                                                                                  |
| 1. Change of correspondence address or indication of "Fee Address" (3: CFR 1.363).  Change of correspondence address (or Change of Correspondenc Address form PIOSBH 122) attached.  Tee Address' indication (or "Fee Address" indication form PTOSBH 78 wo 3-92 or more recent) attached. Use of a Custom Number is required.  ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED O PLEASE NOTE: Unless an assignce is identified below, no assign |                                                                                                                                                     |                                                   |                                                                                                     | 2. For printing on the patient front page, list  (1) the names of up to 3 registered patient attorneys or agents OR, alternatively.  (2) the name of a single firm thaving as a member a registered attorney or agent) and the names of up to 2 registered part attorneys or agent). If no name is listed, no name will be printed.  THE PATENT (print or type)  data will appear on the patient. If an assignee is identified below, the document has been filed for TS austicative for filing an assignment. |                                                                                                                                                                                                                                                                                                        |                                                                                                         |                                                  |                                                                                                                               |                                                                                                                                                  |
| (A) NAME OF ASSIG                                                                                                                                                                                                                                                                                                                                                                                                                               | ENEE                                                                                                                                                |                                                   |                                                                                                     | (B) RESIDENCE: (C                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | ITY                                                                                                                                                                                                                                                                                                    | and STATE OR C                                                                                          | OUNT                                             | RY)                                                                                                                           | up entity 🚨 Government                                                                                                                           |
| 4a. The following fee(s) are submitted:    Issue Fee   Publication Fee (No small entity discount permitted)   Advance Order - # of Copies                                                                                                                                                                                                                                                                                                       |                                                                                                                                                     |                                                   |                                                                                                     | o. Payment of Fee(s): ( A check is enclose Payment by credit The Director is he overpayment, to D                                                                                                                                                                                                                                                                                                                                                                                                              | ed.<br>card<br>reby                                                                                                                                                                                                                                                                                    | I. Form PTO-2038<br>authorized to char                                                                  | is atta                                          | ched.<br>required fee(s), any de                                                                                              |                                                                                                                                                  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                 | SMALL ENTITY state                                                                                                                                  | ıs. See 3                                         | 7 CFR 1.27.                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                        |                                                                                                         |                                                  | TTY status. Sec 37 CF                                                                                                         |                                                                                                                                                  |
| NOTE: The Issue Fee and<br>interest as shown by the re                                                                                                                                                                                                                                                                                                                                                                                          | l Publication Fee (if req<br>ecords of the United Sta                                                                                               | uired) w<br>tes Pate                              | rill not be accepted<br>nt and Trademark                                                            | d from anyone other the<br>Office.                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | an th                                                                                                                                                                                                                                                                                                  | e applicant; a regi                                                                                     | stered a                                         | attorney or agent; or th                                                                                                      | e assignee or other party in                                                                                                                     |
| Authorized Signature                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                     |                                                   |                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                        | Date                                                                                                    |                                                  |                                                                                                                               |                                                                                                                                                  |
| Typed or printed name                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                     |                                                   |                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                        | Registration N                                                                                          | lo                                               |                                                                                                                               |                                                                                                                                                  |
| This collection of informa<br>an application. Confidenti<br>submitting the completed<br>this form and/or suggestic<br>Box 1450, Alexandria, Vi<br>Alexandria, Virginia 2231                                                                                                                                                                                                                                                                     | ation is required by 37 C<br>iality is governed by 35<br>application form to the<br>ons for reducing this bu-<br>irginia 22313-1450. DC<br>(3-1450. | FR 1.31<br>U.S.C.<br>USPTO<br>rden, sh<br>O NOT S | 11. The informatic<br>122 and 37 CFR<br>12. Time will vary<br>ould be sent to the<br>SEND FEES OR C | on is required to obtain<br>1.14. This collection is<br>depending upon the i<br>e Chief Information O<br>COMPLETED FORM                                                                                                                                                                                                                                                                                                                                                                                        | or re<br>s esti<br>ndivi<br>fficer<br>S TO                                                                                                                                                                                                                                                             | etain a benefit by the<br>mated to take 12 r<br>dual case. Any co<br>r, U.S. Patent and<br>THIS ADDRESS | he publ<br>minutes<br>mment<br>Traden<br>i. SENI | ic which is to file (and<br>to complete, includin<br>s on the amount of tir<br>hark Office, U.S. Depa<br>O TO: Commissioner i | by the USPTO to process)<br>g gathering, preparing, and<br>ne you require to complete<br>utment of Commerce, P.O.<br>for Patents, P.O. Box 1450, |

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| APPLICATION NO.       | FILING DATE   | LING DATE FIRST NAMED INVENTOR |              | CONFIRMATION NO. |
|-----------------------|---------------|--------------------------------|--------------|------------------|
| 10/596,438 07/07/2006 |               | Raimund Schuetze               | K&W/13842US  | 6717             |
| 27316 75              | 90 09/15/2009 | EXAMINER                       |              |                  |
| MAYBACK & H           | IOFFMAN, P.A. | KINGAN, TIMOTHY G              |              |                  |
| 5722 S. FLAMINO       |               | ART UNIT                       | PAPER NUMBER |                  |
| FORT LAUDERD          | ALE, FL 33330 | 1797                           |              |                  |

DATE MAILED: 09/15/2009

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 405 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 405 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

## Application No. Applicant(s) 10/596,438 SCHUETZE ET AL. Notice of Allowability Examiner Art Unit TIMOTHY G KINGAN 1797 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. This communication is responsive to 08/25/2009. 2. The allowed claim(s) is/are 19-27. 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). b) \( \subseteq \text{Some\* c} \) \( \subseteq \text{None of the:} \) 1. T Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). \* Certified copies not received: \_\_\_\_\_. Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) 1. | Notice of References Cited (PTO-892) 5. Notice of Informal Patent Application 2. Notice of Draftperson's Patent Drawing Review (PTO-948) Interview Summary (PTO-413), Paper No./Mail Date Information Disclosure Statements (PTO/SB/08). 7. X Examiner's Amendment/Comment Paper No./Mail Date 4. T Examiner's Comment Regarding Requirement for Deposit 8. X Examiner's Statement of Reasons for Allowance of Biological Material 9. ☐ Other .

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### EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes
and/or additions be unacceptable to applicant, an amendment may be filed as provided
by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be
submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Scott Smiley on 09/03/2009.

The application has been amended as follows:

Claims 1 and 3-17 are canceled.

Claim 24. (currently amended) A method for laser microdissection in a container, comprising

the steps of:

arranging a receiving portion, which is designed to hold holding at least one receiving element, in the container,

arranging a holding portion externally of the container,

positioning the receiving portion in the container by means of contactless coupling between the holding portion and the receiving portion by moving the holding portion in a direction relative to the container;

holding in the container at least one receiving element for receiving a biological specimen detached by means of laser microdissection from biological material located in the container:

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detaching at least one biological specimen by laser microdissection from the biological material located in the container: and

receiving the at least one biological specimen by the at least one receiving element held in the container,

wherein the receiving portion is held in the container by the holding portion by means of the contactless coupling.

2. The following is an examiner's statement of reasons for allowance: The prior art of record does not teach or fairly suggest the claimed configuration of receiving element for tissue excised by laser microdissection in a closed container, said receiving element held in the container by a receiving portion, said receiving portion movable by external movement of a holding portion which is in contactless coupling with the receiving portion. The claimed configuration allows positioning of the receiving element over any specific portion of tissue in the container, a piece of which is intended for laser microdissection and capture when the excised portion is catapulted from the tissue and into the receiving element subsequent to laser irradiation from below the container.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to TIMOTHY G. KINGAN whose telephone number is (571)270-3720. The examiner can normally be reached on Monday-Friday, 8:30 A.M. to 5:00 P.M., E.S.T..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jill Warden can be reached on 571 272-1267. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

TGK /Jill Warden/

Supervisory Patent Examiner, Art Unit 1797